

## Summary of Key Points Relating to “Partial Assurance” Reviews

AUDIT TITLE	AUDITORS OPINION
1. Out of County Placements	<p>The audit identified a high risk due to a mis-file on CareFirst. This related to an incident which was recorded in the wrong case file and there was insufficient information on CareFirst to confirm that the required action had taken place. The audit also highlights a number of areas where additional controls are needed to evidence decisions made relating to placements and action taken.</p> <p>It should be noted that an Ofsted inspection took place in March 2012 and found “the services for looked after children to be adequate”. The findings in this report should provide additional detail which will enable management to strengthen the controls currently in place.</p>
2. Safeguarding	<p>Audit recognise that increasing demand for services makes the task of safeguarding children more difficult. In the small sample of cases that Audit examined it has become clear that employees involved in safeguarding children are under increasing pressure to fulfil their obligations. Whilst most obligations were met, high risk issues were identified.</p> <p>It should be noted that an Ofsted Inspection took place in March and found “the effectiveness of Safeguarding in Wiltshire to be inadequate”. The findings in this audit report should provide additional detail which will address the risks identified in this report and also contribute to an overall plan of action for the Service</p>
3. Traffic and Network Management - Coordination	<p>The Service must co-ordinate all planned work activities, hold liaison meetings and inform the street works register of the street work activities. This is available for public viewing. The partial assurance was given due to the lack/inconsistency of information recorded on the schedule of planned and current work activities. There were also concerns with the lack of minutes which should confirm discussions at co-ordination meetings. Where works are carried out on behalf of the Council, planned and current work activities discussions are not recorded at pre-coordination meetings. Due to the lack of information, it was not possible to confirm how these meetings link into the overall quarterly meetings which are also attended by utility companies.</p> <p>The audit also found that the EXOR system is not being used to its full potential as a manual spreadsheet is being used to record overrun days which form the basis of charges to utility companies. Management have advised that logging on EXOR is not currently possible although this could be progressed through User Groups.</p>

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4.IT Networks	<p>This audit was given a Partial assurance. However, as not all requested information was available for audit to review, this level of assurance is given solely on the testing carried out. As such, further testing may have changed this opinion. The high risks identified related to the number of high privilege users and the need to carry out a review of groups and user access. The additional risk was concerning the site of the Basement Computer Room and the rare risk of flooding. The BCR is scheduled to be decommissioned as part of the data centre consolidation project within the Council, but is reliant upon the completion of the Old County Hall refurbishment.</p>